

Administration and Fiduciary Responsibility for 403(b) Plans

Are You Ready for Your Role as a Fiduciary?

With last year's regulatory changes issued by the Department of the Treasury, the Department of Labor is expecting Not-for-Profit groups (and other sponsors of ERISA-covered 403(b) plans) to fulfill their fiduciary responsibility of protecting their employees' retirement benefits as best they can. This fiduciary responsibility has both organizational and personal liabilities, and responsible fiduciaries should be able to make prudent decisions based on a solid understanding of the administrative, reporting and compliance issues surrounding their particular 403(b) plan.

Join us for a complimentary seminar to discuss:

- What is included in an organization's fiduciary responsibility and personal liability
- How to fulfill your administrative and fiduciary responsibility through prudent governance
- What you are expected to gather and research as a prudent fiduciary to have a solid understanding of the aspects of your plan and the expectations of your plan providers
- How to execute the changes called for under the new Section 403(b) regulations
- How to be proactive and efficient with the process to meet deadlines

This seminar is primarily designed for CFOs, Controllers & Human Resource Managers

CPE credit available from NASBA, ASPPA, & MCLE organizations, see registration page for details

Featured Speakers & Topics:

Ronald J. Triche, Director with Trucker Huss,
Fiduciary duties relating to 403(b) plans.

Brian A. Montanez, Principal with Multnomah Group
Governance and administration of your 403(b) plan under the new requirements.

Jennifer Dizon, Partner with Hood & Strong LLP
Preparation steps for an audit and possible outcomes (qualified opinions, internal control letters)

Lynn Henley, partner with Hood & Strong LLP,
Changes to Form 5500 for 403(b) plans and filing methods