

Administration and Fiduciary Responsibility for 403(b) Plans

Are You Ready for Your Role as a Fiduciary?

With last year's regulatory changes, the Department of Labor is now expecting Not for Profit groups to bear responsibility for protecting their employees retirement benefits as best they can. This 'fiduciary responsibility' has both organizational and personal liabilities, and a responsible fiduciary is one who is able to make prudent decisions based on a solid understanding of the administrative, reporting and compliance issues surrounding their particular plan.

Join us for a complimentary seminar to discuss:

- What is included in an organization's fiduciary responsibility and personal liability
- How to execute your administrative and fiduciary responsibility through prudent governance
- What you are expected to gather and research as a prudent fiduciary to have a solid understanding of the aspects of your plan and the expectations of your plan providers
- How to execute against the changes called for under the new 403b regulations
- How to be proactive and efficient with the process to meet deadlines

This seminar is primarily designed for CFOs , Controller &, Human Resource Managers

CPE credit available from both NASB and ASPPA organizations, see registration page for details

Featured Speakers & Topics:

Ronald J. Triche, Director with Trucker Huss,
Fiduciary responsibilities in executing the 403(b) regulatory *changes*

Brian A. Montanez, Principal with Multnomah Group
Governance and administration of your plan under the new requirements

Jennifer Dizon & Maria Giambona, Partners with Hood & Strong LLP
Preparation steps for an audit and possible outcomes (qualified opinions, internal control letters) &
Changes to Form 5500 for 403(b) plans and filing methods